

In the United States Patent and Trademark Office

15 DEC 1999

Mailed 199

12/17/99
Assistant Application

Assistant Commissioner for Patents
Washington, District of Columbia 20231

Please file the following enclosed patent application papers:

Applicant #1, Name: Vincent Ching Ping Li

Applicant #2, Name: Raymond Chong

Title: Method For Charting Financial Market Activities

Specification, Claims, and Abstract: Nr. of Sheets 37

Declaration: Date Signed: 15 Dec. 1999

Drawing(s): Nr. of Sheets Enc.: Formal: 19 Informal: nil

Small Entity Declaration of Inventor(s) SED of Non-Inventor / Assignee / Licensee

Assignment enclosed with cover sheet and recordal fee; please record and return.

Check for \$ 618 .- for:

\$ 578 .- for filing fee (not more than three independent claims and twenty total claims are presented).

\$ 40 .- additional if Assignment is enclosed for recordal.

Information Disclosure Statement, Form PTO-1449, and listed references.

Disclosure Document Program reference letter.

Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. _____, filed _____.

Return Receipt Postcard Addressed to Applicant #1.

Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,



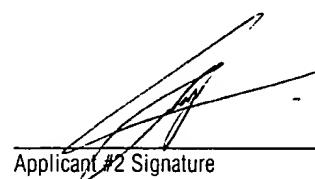
Applicant #1 Signature

1601 Yue Xie Bldg.,

Address (Send Correspondence Here)

160-174 Lockhart Rd., Wanchai

Hong Kong.



Applicant #2 Signature

1601 Yue Xie Bldg.,

Address

160-174 Lockhart Rd., Wanchai

Hong Kong.

Express Mail Label #

; Date of Deposit 199

Jc135 U.S. PTO
09/465336
12/17/99



In the United States Patent and Trademark Office

JC135 U.S. PTO
09/465336
12/17/99

Mailed 199 Dec. 15

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: Vincent Ching Ping Li

Applicant #2, Name: Raymond Chong

Title: Method For Charting Financial Market Activities

Specification, Claims, and Abstract: Nr. of Sheets 37

Declaration: Date Signed: 15 DEC 1999

Drawing(s): Nr. of Sheets Enc.: Formal: 19 Informal: nil

Small Entity Declaration of Inventor(s) SED of Non-Inventor / Assignee / Licensee

Assignment enclosed with cover sheet and recordal fee; please record and return.

Check for \$ 618.- for:

\$ 578.- for filing fee (not more than three independent claims and twenty total claims are presented).

\$ 40.- additional if Assignment is enclosed for recordal.

Information Disclosure Statement, Form PTO-1449, and listed references.

Disclosure Document Program reference letter.

Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. _____, filed _____.

Return Receipt Postcard Addressed to Applicant #1.

Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Raymond Chong

Applicant #1 Signature



Applicant #2 Signature

1601 Yue Xie Bldg.,
Address (Send Correspondence Here)

1601 Yue Xie Bldg.,
Address

160-174 Lockhart Rd., Wanchai
Hong Kong.

160-174 Lockhart Rd., Wanchai
Hong Kong.

Express Mail Label #

; Date of Deposit 199 _____

In the United States Patent and Trademark Office

Mailed 1999 Dec. 15

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

Fee Transmittal

First-Named Applicant Vincent Ching Ping Li
Title of Invention: " Method For Charting Financial Market Activities
Total Payment Enclosed (From Calculation Below): \$ 578.- Check Money Order

Sir:

Enclosed is the following small entity filing fee for the above patent application:

Fee Code	Fee Description	Fee (\$)
214	Provisional Pat. Appn. Filing Fee	
201	Basic Utility Appn. Filing Fee	<u>380.-</u>
206	Basic Design Appn. Filing Fee	
	Subtotal (1)	<u>380.-</u>
203	Total Claims: <u>42</u> - 20 = <u>22</u> ; X <u>9</u> (fee for each claim over 20) = <u>198.-</u>	
202	Tot. Indep. Claims <u> </u> - 3 = <u> </u> ; X <u> </u> (fee for each indep. claim over 3) = <u> </u>	
	Subtotal (2)	<u>198.-</u>
	Total Payment Enclosed [Sum of Subtotals (1) and (2)]	<u>578.-</u>

Very respectfully,


Signature of First-Named Applicant

Vincent Ching Ping Li

Print Name of First-Named Applicant

Rm.1601 Yue Xie Bldg.,

Address

160-174 Lockhart Rd., Wanchai. Hong Kong.